



Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) Publication number:

**0 361 504 B1**

(12)

## EUROPEAN PATENT SPECIFICATION

(45) Date of publication of patent specification: **27.07.94** (51) Int. Cl.<sup>5</sup>: **G01N 15/14, G06K 9/46**

(21) Application number: **89118037.4**

(22) Date of filing: **29.09.89**

(54) **Particle analyzing apparatus and method for determining nuclear shift index.**

(30) Priority: **30.09.88 JP 246538/88**

(43) Date of publication of application:  
**04.04.90 Bulletin 90/14**

(45) Publication of the grant of the patent:  
**27.07.94 Bulletin 94/30**

(84) Designated Contracting States:  
**DE FR GB IT**

(56) References cited:  
**EP-A- 0 138 591**  
**US-A- 3 822 095**  
**US-A- 4 173 415**

**K.R. SPURNY: "Physical and chemical characterization of individual airborne particles", pages 101-115, Ellis Horwood Ltd., New York, US; J.ALLEN: "Size and shape measurement of individual aerosol particles by asymmetric laser light scattering"**

(73) Proprietor: **TOA MEDICAL ELECTRONICS CO., LTD.**

**2-1, Minatojimanakamachi 7-chome**  
**Chuo-ku**  
**Kobe-shi Hyogo-ken(JP)**

(72) Inventor: **Kosaka, Tokihiro**  
**462-81, Ishimori**  
**Kannocho**  
**Kakogawa-shi Hyogo-ken(JP)**

(74) Representative: **Ritter und Edler von Fischern, Bernhard, Dipl.-Ing. et al**  
**Hoffmann, Eitle & Partner,**  
**Patentanwälte,**  
**Postfach 81 04 20**  
**D-81904 München (DE)**

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid (Art. 99(1) European patent convention).

## Description

This invention relates to a particle analyzing apparatus and method for measuring the nuclear shift index of particles by suitably preparing a liquid specimen such as blood, passing particles such as white blood cells contained in the specimen through a detecting zone to detect signals corresponding to the particles, and processing the detected signals.

White blood cells present in human blood are classified into monocytes, neutrophils, eosinophils and basophils, and determining the numbers of particles according to class or the content of these particles as a percentage is a useful tool in clinical examination. Accordingly, in order to classify white blood cells into the aforementioned particles and enumerate the same automatically, apparatus heretofore developed for this purpose are adapted to dilute blood with a diluent, supply the diluted blood to a detector to detect any electrical or optical change produced when the blood cells pass through the detector, classify the particles and count the same.

A first conventional apparatus of this kind is adapted to destroy red blood cells by using a hemolytic agent to obtain an electrolyte in which only white blood cells are suspended, pass the electrolyte through a detector provided with pores, and detect a change in electrical impedance (e.g. electrical resistance) at the porous portion, the change occurring when white blood cells pass through the pores. This apparatus enables white blood cells to be identified based on a difference in the magnitude of the detected signal.

A second conventional apparatus is adapted to pass a dilute solution of blood, which is in the form of a fine stream, through the central portion of a flow cell, and irradiate the fine stream with light to detect an optical change, such as a change in fluorescence or scattered light, produced when the blood flows through the cell. With this apparatus, white blood cells can be identified based on a difference in fluorescent intensity or intensity of scattered light detected by staining the white blood cells.

The porous portion constituting the detecting section of the first conventional apparatus covers an area that is considerably large in comparison with particle size. For this reason, particles cannot be detected on a microscopic scale. By way of example, if particles having a diameter of several microns are to be detected, the pores would have to possess a hole diameter and pass length on the order of tens of microns to 100 microns in order to prevent clogging. In addition, the only information acquired relates to particle size.

With the second conventional apparatus, the detecting zone can be made smaller than the size of the particles by narrowing down the irradiating light flux. By this reducing the size of the detecting zone, particles can be detected on a microscopic scale. In other words, various intrinsic characteristics possessed by the particles can be detected in greater detail, so that a greater amount of information can be extracted.

For example, as set forth in the "Bulletin of the Electrotechnical Laboratory" by Yoshio Nomura, Vol. 44, No. 3, pp 185 - 186, and in "Flow Cytometry and Sorting" by L.L. Wheelless, et al., pp 125 - 135, an apparatus is available in which irradiation is performed using a slitshaped laser beam. Specifically, as shown in Fig. 10, a slit-shaped laser beam 124 having a width of approximately 4  $\mu\text{m}$  is projected in a direction perpendicular to that of cell flow, and fluorescent profile is measured when the cells cross the laser beam 124. The detection signal thus obtained is illustrated in Fig. 11. Signal widths C and N are commensurate with the diameters of cell 120 and nucleus 122. Accordingly, the ratio of nuclear diameter to cell diameter is obtained from N/C. Using the slit beam also makes it possible to take measurements to determine whether polynuclear cells are present.

Further, in "Cytometry" by L.L. Wheelless, et al., Vol. 5, pp 1 - 8, an example is described in which detection error ascribable to cell orientation is prevented. To this end, an X-Y-Z slit scanning method is used in which X and Y axes are taken in a plane containing the slit beam and the direction of cell flow is taken as the Z axis, with the fluorescent profile being analyzed in the X, Y and Z directions. Both parameters, namely the N/C ratio and nuclear fluorescent intensity obtained, are used to enable cell discrimination.

A problem encountered with the first conventional apparatus is that only particle size information can be obtained, as mentioned earlier. Therefore, in order to classify and quantify white blood cells, it is required that the group of white blood cells in each class be made large enough to enable it to be distinguished from groups of white blood cells in other classes. This means that the hemolytic agent must be carefully selected, and that measurements must be taken while strictly controlling such measurement conditions as temperature. However, since the detection principle from the outset is based on particle size, this would make it impossible to detect the various characteristics possessed by the particles. For example, it would not be possible to detect the state of nuclear shift of the cells.

An advantage of the second conventional apparatus is that many characteristics can be detected from a single particle by reducing the size of the detecting zone, as mentioned above. However, nowhere does the

aforementioned literature describe determining the nuclear shift index of white blood cells, which is one characteristic possessed by white blood cells, or a technique for achieving this.

Nuclear shift index rises as the maturity of white blood cell granulocytes progresses. Fig. 12 is a view for describing the nuclear shift of neutrophils cited in "Clinical Laboratory Methods" by Masamitsu Kanai, et al. 28th Edition, Vol. 6, p 50. An increase in neutrophils with a small number of lobes is referred to as "left shift". If an increase in the total number of white blood cells appears at this time, this indicates a highly active myeloid function and the likelihood of leukemia. If a reduction in the total number of white blood cells appears, myeloid function is considered to be impaired and the patient is readily susceptible to infection. An increase in neutrophils with large number of lobes is referred to as "right shift". There is often a decrease in the total number of white blood cells in this case as well. This is considered to indicate pernicious anemia.

Thus, determining the lobe state of specific cellular nuclei in various white blood cells by examination has great clinical significance.

The present invention is intended to meet this demand and its object is to provide an apparatus and method through which an index (shift index) indicating the lobe state of cellular nuclei can be obtained for every white blood cell by irradiating particles with a finely converged laser beam and processing signals indicative of particles detected in directions symmetrical with respect to the optic axis of the laser beam.

The particle analyzing apparatus and method of the invention are based on the following principle: When a particle stream is irradiated with a laser beam narrow in the direction of particle flow and wide in the direction perpendicular to the particle flow, the waveform of the detection signal becomes more complicated the more complex the shape of the particle nucleus. By extracting such characteristics as the degree of complexity of the detection signal waveform, the nuclear shift index of the particle is measured to enable analysis of particles such as white blood cells.

The present invention provides a particle analyzing apparatus as defined in claim 1.

The particle analyzing method of the invention comprises the steps as defined in claim 6.

The first characteristic quantity extracting means for extracting particle nucleus complexity can comprise a differentiator for differentiating an inputted particle signal, a rectifier connected to an output side of the differentiator for full-wave rectifying the output signal thereof, an integrator connected to an output side of the rectifier for integrating an output signal thereof, and an A/D converter connected to an output side of the integrator for converting an analog output thereof into digital data.

Further, in order to implement the function of the first characteristic quantity extracting means by digital signal processing, the first characteristic quantity extracting means can comprise an A/D converter for sampling and successively converting the inputted particle signal into digital data at equally spaced clock pulses, memory means connected to an output side of the A/D converter for temporarily storing the digital data, a subtracter connected to the output side of the A/D converter and an output side of the memory means for successively calculating the absolute value of a difference between data, which prevails at the present time, outputted by the A/D converter and data, which prevailed one clock interval earlier than the present time, outputted by the memory means, and an accumulator connected to an output side of the subtracter for successively cumulatively adding data outputted by the subtracter.

The second characteristic quantity extracting means for extracting the symmetry of the particle nucleus can comprise a subtracter for obtaining the difference between the inputted two types of particle signals, a rectifier connected to an output side of the subtracter for full-wave rectifying an output signal thereof, an integrator connected to an output side of the rectifier for integrating an output signal thereof, and an A/D converter connected to an output side of the integrator for converting an analog output thereof into digital data.

Further, in order to implement the function of the second characteristic quantity extracting means by digital signal processing, the second characteristic quantity extracting means can comprise an A/D converter for sampling and successively converting each of the inputted two types of particle signals into digital data at equally spaced clock pulses, a subtracter connected to an output side of the A/D converter for successively calculating the absolute value of a difference between both items of digital data, and an accumulator connected to an output side of the subtracter for successively cumulatively adding data outputted by the subtracter.

In operation, the suspension of blood particles is passed in the form of a sheathed stream and is irradiated with a laser beam the width whereof is smaller than the diameter of the particle nucleus in the direction of particle flow and larger than the diameter of the particle in the direction perpendicular to the particle flow. By passing the particle through the detecting zone, which is the zone irradiated, scattered light conforming to the structure of the particle nucleus is generated. Accordingly, by detecting this optical change, a particle signal which includes information relating to the structure of the nucleus can be obtained. More specifically, there is a relationship between complexity of cell shape and the complexity of the particle

signal waveform. By extracting the amount of components in the high-frequency region contained in the particle signal waveform by the first characteristic quantity extracting means, the complexity of the waveform can be extracted and it is possible to determine the complexity of the particle nucleus in a case where the particle is viewed from a certain side face thereof.

5 If the optical change is detected at a plurality of locations, one particle can be observed from a number of its side faces. In particular, if the side-scattered light beams produced in directions symmetrical with respect to the optic axis of the laser by a particle in the irradiating zone are each detected and the two types of particle signals obtained are compared, the degree of symmetry of the nuclear shape can be ascertained. Accordingly, the magnitude of the difference between the two types of particle signals is  
10 extracted by the second characteristic quantity extracting means to obtain the symmetry of the particle nucleus. Further, if the data indicative of the complexity and symmetry of the nucleus obtained by the first and second characteristic quantity extracting means are used, the nuclear shift index can be calculated without relation to the orientation of the particle when it passed through the detecting zone.

The foregoing operation will now be explained in further detail in conformity with the embodiment of the  
15 invention described later.

The particle signal is differentiated by a differentiator 62, whereby the components in the high-frequency region are extracted. Next, the differentiated signal relating to these components in the high-frequency region is full-wave rectified by a rectifier 64, and the output whereof is integrated by an integrator 66 to obtain the area of the waveform of the differentiated signal. In other words, the amount of complexity  
20 of the particle signal waveform is delivered to the integrator 66, the analog output of which is converted into digital data by an A/D converter 68 in order to obtain a numerical value. Thus is extracted the amount of components in the high-frequency region contained in the particle signal.

The particle signal is sampled and successively converted into digital data at equally spaced clock pulses by an A/D converter 80. These data are temporarily retained in memory means 82 in successive  
25 fashion and then are outputted sequentially. A subtracter 84 successively calculates the absolute value of the difference between the digitized data, which prevails at the present time, outputted by the A/D converter 80, and the digitized data, which prevailed one clock interval earlier than the present time, outputted by the memory means 82. The absolute value of this difference is successively cumulatively added by an accumulator 86 to obtain a numerical value. Thus is extracted the amount of components in the high-  
30 frequency region contained in the particle signal.

The difference between the two types of particle signals is obtained by a subtracter 70. The different signal is then full-wave rectified by a rectifier 72, the output of which is integrated by an integrator 74 to obtain the area of the difference signal waveform. In other words, a signal indicating the magnitude of the difference between the particle signal waveforms is delivered to the integrator 74, the analog output of  
35 which is converted into digital data by an A/D converter 76 in order to obtain a numerical value. Thus is extracted the magnitude of the difference between the two types of particle signals.

The two types of particle signals are respectively sampled by A/D converters 80, 88 and successively converted into digital data thereby. The absolute value of the difference between these two items of data is successively calculated by a subtracter 90. The absolute value is successively accumulatively added by an  
40 accumulator 92 to obtain a numerical value. The magnitude of the difference between the two types of particle signals is thus obtained.

The magnitude of the aforementioned difference is related to the particle nuclear shift index and serves as particle analysis data.

Other features and advantages of the present invention will be apparent from the following description  
45 taken in conjunction with the accompanying drawings.

Fig. 1 is a schematic view illustrating an example of an optical system in the particle analyzing apparatus of the present invention;

Figs. 2 and 3 are block diagrams illustrating embodiments of characteristic quantity extracting means which jointly employs first characteristic quantity extracting means and second characteristic quantity  
50 extracting means;

Figs. 4 and 5 are block diagrams illustrating other embodiments of the first characteristic quantity extracting means;

Figs. 6 and 7 are diagrams for describing the first characteristic quantity extracting means, in which Fig. 6 shows particle signals and Fig. 7 signals obtained by differentiating the particle signals;

Fig. 8 is a diagram for describing the second characteristic quantity extracting means, this diagram  
55 showing two types of particle;

Fig. 9 is a diagram for describing another embodiment of the first characteristic quantity extracting means, this diagram showing a signal obtained by eliminating components in a high-frequency region

from the particle signal, as well as the original particle signal;

Figs. 10 and 11 are diagrams illustrating the relationship between an irradiating laser beam and a signal, in which Fig. 10 shows the relationship between particles and a slit beam and Fig. 11 shows a particle signal obtained by detecting fluorescence;

Fig. 12 is a view illustrating the lobes of neutrophil nuclei; and

Fig. 13 is a diagram illustrating the sampling of a particle signal.

Fig. 1 is a schematic view illustrating an example of an optical system for obtaining plural types of particle signals with respect to a single particle in a particle analyzing apparatus of the present invention. A laser beam 12 emitted by an argon laser 10 and propagating to the right in Fig. 1 (i.e., along the +X axis) irradiates a specimen of blood or the like which flows through a flow cell 16 in a direction perpendicular to the plane of the drawing (i.e., along the + or -Z axis). The laser beam 12 is condensed by a lens 14 to irradiate the central portion of the flow cell 16 with a beam width reduced along the +Y and -Y axes to the order of 100 - 150  $\mu\text{m}$ , which is greater than particle diameter, and along the +Z and -Z axes to the order to 2 - 3  $\mu\text{m}$ , which is less than the diameter of the particle nucleus.

Since it is desired here to measure the characteristics and number of white blood cells, the blood specimen passed through the flow cell 16 should satisfy the following conditions:

(a) Red blood cells, which far outnumber white blood cells, should be destroyed so as not to hamper measurement of the white blood cells.

(b) The treatment used to destroy the red blood cells should not cause a morphological change in the white blood cells (i.e., expansion, contraction, deformation, etc.)

Accordingly, the specimen should be treated by adding to it a first liquid exhibiting acidity (e.g., pH 4.5) and low osmotic pressure (e.g., an osmotic pressure of 50 mOsm/kg), incubating the specimen (for example, at 25°C for 20 seconds), adding a second liquid exhibiting alkalinity (e.g., pH 9.8 - 9.9) and high osmotic pressure (e.g., an osmotic pressure of 2200 mOsm/kg), incubating the specimen (for example, at 25°C for 40 seconds) and returning the specimen to the isotonic state (an isotonic pressure of 286 mOsm/kg). Since red blood cells exhibit little resistive pressure, they are destroyed by the acidic treatment at low osmotic pressure. White blood cells, on the other hand, possess a high resistive pressure and remain in the specimen without being destroyed. If desired, the first liquid may contain a fluorescent dye for staining the nuclei of the white blood cells.

With its periphery enveloped by a fluid sheath, the specimen passes through the central portion of the flow cell 16 in a fine stream. By passing the white blood cells through the detection zone, which is the zone irradiated by the laser beam, one at a time substantially in a single file in the direction of flow, scattered light or fluorescence is emitted in various directions conforming to the structure of each particle nucleus. If the structure of a nucleus is complex, then a complex optical signal will be emitted.

Forward-scattered light 20, namely scattered light emitted in the forward direction (along the +X axis), is partially shielded by a light-shielding plate (condensed by a lens 18) and detected by a photodiode D<sub>1</sub>. Meanwhile, side-scattered light 26, 30, 34, namely light emitted to one side (along the +Y axis) is condensed by a lens 22. The scattered light 26 is reflected by a dichroic mirror 24, which has the ability to transmit and reflect selected wavelengths, and is detected by a photomultiplier tube D<sub>2</sub>. Fluorescence 30, 34 passes through the dichroic mirror 24. Red fluorescence 30 is reflected by a dichroic mirror 28 and detected by a photomultiplier tube D<sub>3</sub>. Green fluorescence 34 is reflected by a dichroic mirror 32 and detected by a photomultiplier tube D<sub>4</sub>.

Side-scattered light 40 is light emitted to the other side (along the -Y axis), which is a direction symmetrical to the above-mentioned first side direction with respect to the optic axis of the laser. This side-scattered light 40 is condensed by a lens 36, reflected by a dichroic mirror 38 and detected by a photomultiplier tube D<sub>5</sub>.

Thus, plural types of signals are obtained with regard to a single-particle that passes through the detecting zone. The detected particle signals of the plurality of types are delivered to a signal processor 42 in order to be analyzed. In the present embodiment, the side-scattered light beam 26 along the +Y axis and the side-scattered light 40 along the -Y axis are detected by the light-receiving elements D<sub>2</sub>, D<sub>5</sub>, respectively, and the nuclear shift index of white blood cells is determined using the two types of particle signals obtained.

Ordinarily, a particle signal having a complex waveform is obtained if the shape of the particle nucleus is complex. Accordingly, the complexity of the shape of a nucleus can be found by applying the particle signal to first characteristic quantity extracting means and extracting the complexity of the signal waveform. However, merely detecting scattered light in one direction allows the complexity of the nucleus to be ascertained as seen only from one side face thereof, and using these data alone to determine the shift index of the nucleus would result in measurement error. Accordingly, in order to reduce the error, it is

required that light scattered in a plurality of directions be detected. If there are many locations at which scattered light is detected, this will make it possible to determine the shape of the nucleus more correctly, with greater accuracy being achieved the greater the number of locations. Cost rises correspondingly, however. Therefore, as the result of much research, the inventor has found that if it is arranged to detect scattered light emitted in directions symmetrical with respect to the optic axis of the laser, measurement accuracy involved in particle analysis is greatly improved without requiring complicated equipment and operation and without greatly increased expenditures.

Particle signals obtained by detecting scattered light in symmetrical directions are considered to contain information indicative of nuclear shape when viewing the particle from positions symmetrical with respect to the optic axis.

The degree of nuclear symmetry can be ascertained by comparing the two particle signals using second characteristic quantity extracting means. It has been clarified that if the aforementioned data relating to the complexity of a nucleus and the data relating to the symmetry of the nucleus are employed, the shape of the nucleus can be suitably determined irrespective of the orientation of the particle as it passes through the detecting zone.

The degree of symmetry of a nucleus can be determined with especially good accuracy if the apparatus is arranged to detect the scattered light 26 lying at 90° (along the +Y axis) and the scattered light 40 lying at -90° (along the -Y axis) with respect to the optic axis of the laser, as shown in Fig. 1.

A specific example of means for determining the degrees of complexity and symmetry of a nucleus in a particle will now be described. Fig. 2 is a block diagram illustrating an embodiment of first characteristic quantity extracting means 50 for determining the degree of complexity of a nucleus and second characteristic quantity extracting means 52 for determining the degree of symmetry of a nucleus. A particle signal (hereinafter referred to as a first particle signal) obtained by detecting scattered light along the +Y axis enters a differentiator 62. As shown in Fig. 6, the particle signal of a white blood cell having a nucleus whose lobes have developed has a complex waveform which is very uneven, as shown at S<sub>1</sub>, while the particle signal of a white blood cell having a nucleus whose lobes have not developed has smooth waveform, as shown at S<sub>2</sub>. By differentiating these particle signals S<sub>1</sub>, S<sub>2</sub> in the differentiator 62, the components in the high-frequency region of the respective particle signals are emphasized to extract signals S<sub>1a</sub>, S<sub>2a</sub> shown in Fig. 7, respectively. The areas (indicated by the shaded portions) of the differentiated signals S<sub>1a</sub>, S<sub>2a</sub> correspond to the complexities of the particle signals S<sub>1</sub>, S<sub>2</sub>, respectively. Accordingly, the differentiated signals S<sub>1a</sub>, S<sub>2a</sub> are full-wave rectified by a rectifier 64 and then integrated by an integrator 66, thereby obtaining an analog signal corresponding to the aforementioned area. This signal is converted into digital data by an A/D converter 68. Thus, the degree of complexity of the waveform of the first particle signal is converted into a numerical value simply and accurately. Thereafter, all circuit elements return to their initial states.

A particle signal (hereinafter referred to as a second particle signal) obtained by detecting scattered light along the -Y axis is used in order to determine the difference between itself and the first particle signal. As shown in Fig. 8, the difference between the first particle signal S<sub>3</sub> and second particle signal S<sub>4</sub> corresponds to an area indicated by hatched portion. The first and second particle signals both enter a subtractor 70, which calculates the difference between the signals. The resulting difference signal is full-wave rectified by a rectifier 72, whose output is integrated by an integrator 74. The integrated signal is then converted into digital data by an A/D converter 76. The magnitude of the difference between the first and second particle signals is thus converted into a numerical value simply and accurately. All circuit elements then return to their initial states. Thus, a lobe index B indicating the lobe state of every single white blood cell is defined and determined, by arithmetic operations, from data F(1) indicating the complexity of a particle nucleus and data T(1,2) indicating the symmetry of the particle nucleus, these data F(1), T(1,2) being quantified upon extraction from the two types of particle signals. For example, B can be determined as follows:

$$B = K[F(1) + T(1,2)] + C, \text{ or} \\ B = \sqrt{F(1)^2 + T(1,2)^2} + C.$$

Alternatively, it is also possible to obtain the complexity of the second particle signal using the first characteristic quantity extracting means and employ the complexity data F(1) obtained from the first particle signal and complexity data F(2) obtained from the second particle signal. For example, B can be determined as follows:

$$B = K \left[ \frac{F(1) + F(2)}{2} + T(1,2) \right] + C,$$

$$B = K \sqrt{\left( \frac{F(1) + F(2)}{2} \right)^2 + T(1,2)^2} + C,$$

$$B = K \{ \text{Max}[F(1), F(2)] + T(1,2) \} + C, \text{ or}$$

$$B = K \sqrt{\{ \text{Max}[F(1), F(2)] \}^2 + T(1,2)^2} + C.$$

Here

$$\text{Max}[F(1), F(2)] = \begin{cases} F(1); & F(1) \geq F(2) \\ F(2); & F(1) < F(2) \end{cases}$$

The nuclear shift index can also be well expressed as follows:

$$B = K[F(1) + F(2)] + C, \text{ or}$$

$$B = K\sqrt{F(1)^2 + F(2)^2},$$

where K and C are constants.

Fig. 3 is a block diagram showing an arrangement in which the signal processing of Fig. 2 is implemented by digital signal processing. The technical concept is the same as that of the block diagram shown in Fig. 2.

A clock generator 78 supplies clock pulses at equal time intervals at a rate quicker than that at which the particle signal changes. The first particle signal enters a high-speed A/D converter 80, the second particle signal enters a high-speed A/D converter 88, and both particle signals are sampled and converted into digital data in response to every clock pulse. The sampling data indicative of the first particle signal enter a buffer 82 serving as temporary storage means, whereby these data are temporarily preserved and outputted at every clock. Sampling data, which prevail at the present time, outputted by the A/D converter 80 and sampling data, which prevailed one clock interval earlier than the present time, enter a subtractor 84, which outputs the absolute value of the difference between the two items of sampling data. By way of example, the subtractor 84 can be one which performs computation by addition based on complementary numbers. For instance, subtraction processing is performed by re-expressing the sampling data from the buffer 82 as a twos complement and adding the sampling data from the A/D converter 80. The sign of the results of this processing is detected in the form of a sign bit. If the sign is negative, the data is re-expressed as a twos complement and converted into an absolute value. The absolute value of the difference between both items of data (present-time sampling data and sampling data one time interval earlier than the present time) is thus determined. The data outputted by the subtractor 84 are applied to an accumulator 86 to be cumulatively added thereby. All circuit elements then return to their initial states.

Fig. 13 is a view for describing the foregoing. A particle signal  $S_k$  is sampled and A/D converted every sampling clock, whereby digital data  $D(1), D(2), \dots, D(N)$  indicative of the particle signal are successively obtained. These data are processed by the buffer 82 and subtractor 84 to successively obtain absolute values of the difference between  $|D(k) - D(k-1)|$ , where  $k = 1, 2, \dots, 2N$ . A cumulative value

$$\sum_{k=1}^N |D(k) - D(k-1)|$$

is determined by the accumulator 86.

The first particle signal sampling data and second particle signal sampling data outputted by the A/D converters 80, 88, respectively, enter a subtractor 90. In a manner similar to that described above, the absolute value of the difference between these two items of data is obtained and the absolute values are cumulatively added by an accumulator 92. By thus adopting digital signal processing, measurement precision is improved and the system is made less susceptible to noise.

The following approach can also be adapted in order to obtain the complexity of the particle signal waveform as a numerical value: As shown in Fig. 9, signal components in the high-frequency region can be removed from the particle signal  $S_5$  by calculating the difference between the particle  $S_5$  and the signal  $S_{5a}$ , which is obtained by eliminating signal components in the high-frequency region from the particle signal  $S_5$ . The area (the shaded portion) of the difference can be adapted as representing the complexity of the waveform of particle signal  $S_5$ . The means for accomplishing this will now be described.

Fig. 4 is a block diagram showing another embodiment of the first characteristic quantity extracting means. Here the differentiator 62 in Fig. 2 is replaced by a low-pass filter 94, a delay element 96 and a subtracter 98. The first particle signal enters the low-pass filter 94 and the delay element 96. The particle signal applied the low-pass filter has its high-frequency components removed, whereby ripple is removed from the waveform to obtain a waveform which is smooth. The delay element 96 subjects the particle signal to a phase delay equivalent to that produced by the action of the low-pass filter 94. The original signal and the signal outputted by the low-pass filter 94, which are now in phase, enter the subtracter 98, which calculates the difference between them. The resulting signal is full-wave rectified by a rectifier 100, whose output is integrated by an integrator 102. The integrated output is converted into a digital numerical value by an A/D converter 104.

Fig. 5 is a block diagram illustrating another embodiment of the first characteristic quantity extracting means. Here the function of the first characteristic quantity extracting means shown in Fig. 4 is implemented by digital signal processing. The technical concept is the same as that of the block diagram shown in Fig. 4. The particle signal is sampled and digitized by a high-speed A/D converter 108 in response to every clock pulse issued by a clock generator 106. The digitized particle signal is delivered to a buffer 110 serving as temporary memory means, and the signal data are successively outputted after being temporarily stored in sync with the clock pulses. The digitized particle signal is also delivered to a digital filter 112 the action of which is the same as that of a low-pass filter. The digital filter 112 can be constituted by a multiplier, accumulator and the like or can be implemented by software. The temporarily stored digital signal and the filtered digital signal are successively delivered to a subtracter 114, which successively calculates the absolute value of the difference between the two signals. The resulting absolute values are accumulated by an accumulator 116.

Thus, an index is obtained that indicates the lobe degree of individual particles. Since other means can be employed to individually determine, say, the peak values of particle signals, a demarcation line can be drawn on a distribution in which peak value is plotted along the horizontal axis and frequency along the vertical axis, thus enabling neutrophils to be distinguished from other white blood cells. This makes it possible to provide valuable clinical information, such as a lobe index distribution and mean lobe index regarding particles identified as being neutrophils.

When the optical system of Fig. 1 is used, the apparatus can be arranged to detect fluorescence of different wavelengths using a dye which stains each white blood cell in conformity with the type thereof. Each white blood cell can be classified by respectively determining the peak values or area values of the different types of particle signals, obtaining a two-dimensional distribution in which fluorescents of different wavelengths or fluorescent and the above-mentioned scattered light are taken as the axes, and providing a demarcation line on the distribution.

By making overall use of the nuclear shift indices of individual particles obtained by the particle analyzing apparatus and method of the present invention, the particles can be classified and counted more precisely. This can be effectively exploited in accurately detecting and assessing abnormal particles.

In accordance with the particle analyzing technique of the present invention as described above, a particle is irradiated with a laser beam formed to be narrower than the diameter of the particle nucleus in the direction of particle flow and wider than the diameter of the particle in a direction perpendicular to the particle flow, two sidescattered light beams emitted by the particle in directions symmetrical with respect to the optic axis of the laser beam are detected, and the detected side-scattered light beams are utilized as particle signals. This makes it possible to obtain information that better reflects the structure of the nucleus within the particle. Also, nuclear complexity and symmetry useful in obtaining nuclear shift index are determined by first and second characteristic quantity extracting means, respectively, and the data indicative of nuclear complexity and symmetry are used to accurately determine, for individual particles, an index (shift index) which well expresses the lobe state of the particle nucleus. This can be accomplished through simple calculation and without relation to the orientation of particles undergoing analysis in the particle flow. By making comprehensive use of the index, a particle measurement technique can be realized that is extremely valuable in terms of clinical examination.

The first characteristic quantity extracting means in Claim 1 of the claims is simple in construction and accurately determines the complexity of a particle nucleus. In accordance with Claim 3, the first characteris-



tic quantity extracting means is such that the means of Claim 2 is implemented digitally. It also serves to improve measurement precision and make the apparatus less susceptible to noise.

The second characteristic quantity extracting means in Claim 4 of the claims is simple in construction and accurately determines the symmetry of a particle nucleus. In accordance with Claim 5, the second characteristic quantity extracting means is such that the means of Claim 4 is implemented digitally. It also serves to improve measurement precision and make the apparatus much less susceptible to noise.

It is to be understood that the invention is not limited to the specific embodiments thereof except as defined in the appended claims.

## 10 Claims

1. A particle analyzing apparatus for determining a nuclear shift index of a particle, the apparatus forming a detecting zone by irradiating the zone, in which particles flow substantially in single file, with a laser beam (12) in a direction perpendicular to the direction of particle flow, and detecting, at a plurality of locations, an optical change produced in the detecting zone when particles pass through the detecting zone one at a time, thereby obtaining particle signals with respect to a single particle, said apparatus comprising:
  - a laser beam irradiating zone for performing irradiation with the laser beam (12) formed to be narrower than the diameter of a particle nucleus in the direction of particle flow and wider than the diameter of the particle in a direction perpendicular to the particle flow;
  - photodetecting means ( $D_2$ ,  $D_3$ ,  $D_4$ ,  $D_5$ ) for detecting particle signals with respect to a single particle by respectively detecting side-scattered light beams produced in two directions symmetrical with respect to the optical axis of the laser beam (12);
  - first characteristic quantity extracting means (50, 54) for extracting the amount of high-frequency components, which are contained in the waveform of at least one of said particle signals, in order to determine the degree of complexity of the particle nucleus; and
  - second characteristic quantity extracting means (52, 56) for extracting the magnitude of a difference between the two types of particle signals in order to determine the degree of symmetry of the particle nucleus.
2. The apparatus according to claim 1 wherein said first characteristic quantity extracting means (50, 54) comprises:
  - a differentiator (62) for differentiating an inputted particle signal;
  - a rectifier (72) connected to an output side of said differentiator (62) for full-wave rectifying an output signal thereof;
  - an integrator (66) connected to an output side of said rectifier (64) for integrating an output signal thereof; and
  - an A/D converter (68) connected to an output side of said integrator (66) for converting an analog output thereof into digital data.
3. The apparatus according to claim 1, wherein said first characteristic quantity extracting means (50, 54) comprises:
  - an A/D converter (80) for sampling and successively converting the input particle signal into digital data at equally spaced clock pulses;
  - memory means (82) connected to an output side of said A/D converter (80) for temporarily storing the digital data;
  - a subtractor (84) connected to the output side of said A/D converter (80) and an output side of said memory means (82) for successively calculating the absolute value of a difference between data, which prevails at the present time, outputted by said A/D converter (80) and data, which prevailed one clock interval earlier than the present time, outputted by said memory means (82); and
  - an accumulator (86) connected to an output side of said subtractor (84) for successively cumulatively adding output value data outputted by said subtractor (84).
4. The apparatus according to any one of claims 1 to 3, wherein said second characteristic quantity extracting means (52, 56) comprises:
  - a subtractor (70) for obtaining the difference between the inputted two particle signals;
  - a rectifier (72) connected to an output side of said subtractor (70) for full-wave rectifying an output signal of the subtractor (70);

an integrator (74) connected to an output side of said rectifier (72) for integrating an output signal of the rectifier (72), and

an A/D converter (76) connected to an output side of said integrator (74) for converting an integrated analog output of the integrator (74) into digital data.

5

5. The apparatus according to any one of claims 1 to 3, wherein said second characteristic quantity extracting means (52, 56) comprises:

an A/D converter (88) for sampling and successively converting each of the inputted two types of particle signals into digital data at equally spaced clock pulses;

10

a subtractor (90) connected to an output side of said A/D converter (88) for successively calculating the absolute value of a difference between both items of digital data; and

an accumulator (92) connected to an output side of said subtractor (90) for successively cumulatively adding absolute value data outputted by said subtractor (90).

15

6. A particle analyzing method comprising the steps of:

performing irradiation with a laser beam (12) in an irradiating zone narrower than the diameter of a particle nucleus in the direction of particle flow and wider than the diameter of the particle in a direction perpendicular to the particle flow,

20

detecting, as a plurality of particle signals based on a single particle, two side-scattered light beams generated in directions symmetrical with respect to the optic axis of the laser beam (12),

extracting the amount of high-frequency components contained in the waveform of the particle signals to create data indicative of the degree of complexity of the particle;

extracting a magnitude of a difference between the particle signals to determine the degree of symmetry of the particle; and

25

calculating a nuclear shift index for every single particle using the data indicative of the degree of complexity and the data indicative of the degree of symmetry.

## Patentansprüche

30

1. Teilchenanalysevorrichtung zur Bestimmung eines Kernverschiebungsindex eines Teilchens, wobei die Vorrichtung dadurch eine Erfassungszone bildet, daß die Zone, in welcher Teilchen im wesentlichen einzeln hintereinander fließen, mit einem Laserstrahl (12) bestrahlt wird, in einer Richtung senkrecht zur Richtung des Teilchenflusses, und wobei an mehreren Orten eine optische Änderung erfaßt wird, die in der Erfassungszone hervorgerufen wird, wenn Teilchen einzeln durch die Erfassungszone hindurchgelangen, wodurch Teilchensignale in bezug auf ein einziges Teilchen erhalten werden, wobei die Vorrichtung aufweist:

35

eine Laserstrahl-Bestrahlungszone zur Durchführung einer Bestrahlung mit dem Laserstrahl (12), der so ausgebildet ist, daß er schmaler ist als der Durchmesser eines Teilchenkerns in der Richtung des Teilchenflusses und breiter als der Durchmesser des Teilchens in einer Richtung senkrecht zum Teilchenfluß;

40

eine Fotodetektoreinrichtung (D<sub>2</sub>, D<sub>3</sub>, D<sub>4</sub>, D<sub>5</sub>) zur Erfassung von Teilchensignalen in bezug auf ein einziges Teilchen, durch jeweilige Erfassung seitlich gestreuter Lichtstrahlen, die in zwei Richtungen symmetrisch zur optischen Achse des Laserstrahls (12) erzeugt werden;

45

eine erste Eigenschaftsgrößen-Extrahiereinrichtung (50, 54) zum Extrahieren des Betrages hochfrequenter Komponenten, die in der Signalforn zumindest eines der Teilchensignale enthalten sind, zur Bestimmung des Komplexitätsgrades des Teilchenkerns; und

eine zweite Eigenschaftsgrößen-Extrahiereinrichtung (52, 56) zum Extrahieren der Größe einer Differenz zwischen den beiden Arten von Teilchensignalen, zur Ermittlung des Symmetriegrades des Teilchenkerns.

50

2. Vorrichtung nach Anspruch 1, bei welcher die erste Eigenschaftsgrößen-Extrahiereinrichtung (50, 54) aufweist:

einen Differenzierer (62) zum Differenzieren eines eingegebenen Teilchensignals;

einen Gleichrichter (72), der an eine Ausgangsseite des Differenzierers (62) angeschlossen ist, um eine Vollweg-Gleichrichtung von dessen Ausgangssignal durchzuführen;

55

einen Integrierer (66), der an eine Ausgangsseite des Gleichrichters (64) angeschlossen ist, um dessen Ausgangssignal zu integrieren; und

einen A/D-Wandler (68), der an eine Ausgangsseite des Integrierers (66) angeschlossen ist, um dessen

analoges Ausgangssignal in Digitaldaten umzuwandeln.

3. Vorrichtung nach Anspruch 1, bei welcher die erste Eigenschaftsgrößen-Extrahiereinrichtung (50, 54) aufweist:
  - 5 einen A/D-Wandler (80) zum Abtasten und aufeinanderfolgenden Wandeln des eingegebenen Teilchensignals in Digitaldaten bei gleichmäßig beabstandeten Taktimpulsen;
  - eine Speichereinrichtung (82), die an eine Ausgangsseite des A/D-Wandlers (80) angeschlossen ist, um die Digitaldaten zeitweilig zu speichern;
  - 10 einen Subtrahierer (84), der an die Ausgangsseite des A/D-Wandlers (80) und an eine Ausgangsseite der Speichereinrichtung (82) angeschlossen ist, um aufeinanderfolgend den Absolutwert einer Differenz zwischen Daten, die momentan vorhanden sind, und von dem A/D-Wandler (80) ausgegeben werden, und Daten zu berechnen, die ein Taktintervall früher als der momentane Zeitpunkt vorhanden waren, und von der Speichereinrichtung (82) ausgegeben werden; und
  - 15 einen Akkumulator (86), der an eine Ausgangsseite des Subtrahierers (84) angeschlossen ist, um aufeinanderfolgend kumulativ Ausgangswertdaten zu addieren, die von dem Subtrahierer (84) ausgegeben werden.
4. Vorrichtung nach einem der Ansprüche 1 bis 3, bei welcher die zweite Eigenschaftsgrößen-Extrahiereinrichtung (52, 56) aufweist:
  - 20 einen Subtrahierer (70), um die Differenz zwischen den eingegebenen zwei Teilchensignalen zu erhalten;
  - einen Gleichrichter (72), der an eine Ausgangsseite des Subtrahierers (70) angeschlossen ist, um eine Vollweg-Gleichrichtung eines Ausgangssignals des Subtrahierers (70) durchzuführen;
  - 25 einen Integrierer (74), der an eine Ausgangsseite des Gleichrichters (72) angeschlossen ist, um ein Ausgangssignal des Gleichrichters (72) zu integrieren; und
  - einen A/D-Wandler (76), der an eine Ausgangsseite des Integrierers (74) angeschlossen ist, um ein integriertes, analoges Ausgangssignal des Integrierers (74) in Digitaldaten umzuwandeln.
5. Vorrichtung nach einem der Ansprüche 1 bis 3, bei welcher die zweite Eigenschaftsgrößen-Extrahiereinrichtung (52, 56) aufweist:
  - 30 einen A/D-Wandler (88) zum Abtasten und aufeinanderfolgenden Umwandeln jeder der eingegebenen zwei Arten von Teilchensignalen in Digitaldaten bei gleichmäßig beabstandeten Taktimpulsen;
  - einen Subtrahierer (90), der an eine Ausgangsseite des A/D-Wandlers (88) angeschlossen ist, um aufeinanderfolgend den Absolutwert einer Differenz zwischen beiden Arten von Digitaldaten zu berechnen; und
  - 35 einen Akkumulator (92), der an eine Ausgangsseite des Subtrahierers (90) angeschlossen ist, um aufeinanderfolgend kumulativ Absolutwertdaten, die von dem Subtrahierer (90) ausgegeben werden, zu addieren.
- 40 6. Teilchenanalyseverfahren mit folgenden Schritten:
  - Durchführung einer Bestrahlung mit einem Laserstrahl (12) in einer Bestrahlungszone, die enger ist als der Durchmesser eines Teilchenkerns in der Richtung eines Teilchenflusses und breiter als der Durchmesser des Teilchens in eine Richtung senkrecht zum Teilchenfluß,
  - 45 Erfassung, als mehrere Teilchensignale von einem einzigen Teilchen, zweier seitlich gestreuter Lichtstrahlen, die in Richtung symmetrisch in bezug auf die optische Achse des Laserstrahls (12) erzeugt werden;
  - Extrahieren des Betrages von hochfrequenten Komponenten, die in der Signalf orm der Teilchensignale enthalten sind, zur Erzeugung von Daten, welche das Ausmaß der Komplexität des Teilchens anzeigen;
  - Extrahieren einer Größe einer Differenz zwischen den Teilchensignalen, um den Symmetriegrad des Teilchens zu ermitteln; und
  - 50 Berechnen eines Kernverschiebungsindex für jedes einzelne Teilchen unter Verwendung der Daten, welche den Komplexitätsgrad anzeigen, und der Daten, welche den Symmetriegrad anzeigen.

## Revendications

- 55 1. Appareil d'analyse de particules pour déterminer l'indice de décalage nucléaire d'une particule, l'appareil formant une zone de détection par irradiation de la zone, dans laquelle des particules circulent sensiblement en une seule file, avec un faisceau laser (12) dans un sens perpendiculaire à la

direction de la circulation des particules, et détectant, à une multitude d'emplacements, le changement optique produit dans la zone de détection lorsque des particules traversent, une à la fois, la zone de détection, d'où l'obtention de signaux de particule concernant une seule particule, ledit appareil comportant :

- 5       - une zone d'irradiation par faisceau laser pour effectuer l'irradiation avec le faisceau laser (12) formé de façon à être plus étroit que le diamètre d'un noyau de particule dans la direction de la circulation des particules et plus grand que le diamètre de la particule dans un sens perpendiculaire à la circulation des particules;
- 10       - des moyens de photodétecteur ( $D_2$ ,  $D_3$ ,  $D_4$ ,  $D_5$ ) pour détecter des signaux de particule concernant une seule particule en détectant respectivement des faisceaux de lumière diffusés latéralement qui sont produits dans deux directions symétriques par rapport à l'axe optique du faisceau laser (12);
- 15       - un premier moyen d'extraction de quantité caractéristique (50, 54) pour extraire la quantité des composantes haute fréquence, qui sont contenues dans la forme d'onde d'au moins l'un desdits signaux de particule, dans le but de déterminer le degré de complexité du noyau de la particule; et
- 20       - un second moyen d'extraction de quantité caractéristique (52, 56) afin d'extraire l'amplitude de la différence entre les deux types de signaux de particule dans le but de déterminer le degré de symétrie du noyau de particule.

2. Appareil selon la revendication 1, dans lequel ledit premier moyen d'extraction de quantité caractéristique (50, 54) comprend :

- 25       - un différenciateur (62) afin de faire une différenciation d'un signal de particule entré;
- un redresseur (72) connecté au côté de sortie dudit différenciateur (62) pour un redressement biphasé de son signal de sortie;
- un intégrateur (66) connecté au côté de sortie dudit redresseur (64) afin d'intégrer son signal de sortie; et
- un convertisseur A/N (68) connecté au côté de sortie dudit intégrateur (66) pour transformer sa sortie analogique en donnée numérique.

3. Appareil selon la revendication 1, dans lequel ledit premier moyen d'extraction de quantité caractéristique (50, 54) comprend :

- 35       - un convertisseur A/N (80) pour échantillonner et ensuite transformer le signal de particule d'entrée en donnée numérique à des impulsions d'horloge espacées les unes des autres de la même quantité;
- un moyen de mémoire (82) connecté au côté de sortie du convertisseur A/N (80) afin de stocker temporairement la donnée numérique;
- 40       - un soustracteur (84) connecté au côté de sortie dudit convertisseur A/N (80) et au côté de sortie dudit moyen de mémoire (82) afin de calculer successivement la valeur absolue de la différence entre une donnée, qui prévaut à l'instant présent, sortie par ledit convertisseur A/N (80) et une donnée, qui prévalait à un intervalle d'une horloge antérieure à l'instant présent, sortie par ledit moyen de mémoire (82); et
- 45       - un accumulateur (86) connecté au côté de sortie dudit soustracteur (84) afin d'additionner successivement de manière cumulative les données des valeurs de sortie qui sortent dudit soustracteur (84).

4. Appareil selon l'une quelconque des revendications 1 à 3, dans lequel ledit second moyen d'extraction de quantité caractéristique (52, 56) comprend :

- 50       - un soustracteur (70) pour obtenir la différence entre les deux signaux de particule entrés;
- un redresseur (72) relié au côté de sortie dudit soustracteur (70) pour un redressement biphasé du signal de sortie du soustracteur (70);
- un intégrateur (74) connecté au côté de sortie dudit redresseur (72) pour intégrer le signal de sortie du redresseur (72); et
- 55       - un convertisseur A/N (76) connecté au côté de sortie dudit intégrateur (74) pour transformer la sortie analogique intégrée de l'intégrateur (74) en donnée numérique.

5. Appareil selon l'une quelconque des revendications 1 à 3, dans lequel ledit second moyen d'extraction de quantité caractéristique (52, 56) comprend :

- un convertisseur A/N (88) pour échantillonner et transformer successivement chacun des deux types entrés de signaux de particule en donnée numérique à des impulsions d'horloge espacées les unes des autres de la même distance;
- 5      - un soustracteur (90) connecté au côté de sortie dudit convertisseur A/N (88) pour calculer successivement la valeur absolue de la différence entre deux éléments de donnée numérique, et
- un accumulateur (92) connecté au côté de sortie dudit soustracteur (90) pour ajouter successive-
- ment de façon cumulative les données de valeur absolue sorties par ledit soustracteur (90).

6. Procédé pour analyser une particule comprenant les étapes consistant à :

- 10      - exécuter une irradiation avec un faisceau laser (12) dans une zone d'irradiation plus petite que le diamètre du noyau d'une particule dans le sens de circulation des particules et plus grande que le diamètre de la particule dans une direction perpendiculaire à la circulation des particules;
- détecter, comme une multitude de signaux de particule sur la base d'une seule particule, deux
- 15      faisceaux lumineux diffusés latéralement qui sont produits dans des directions symétriques par rapport à l'axe optique du faisceau laser (12);
- extraire la quantité des composantes haute fréquence contenues dans la forme d'onde des
- signaux de particule de manière à créer des données représentatives du degré de complexité de
- la particule;
- 20      - extraire l'amplitude de la différence entre les signaux de particule afin de déterminer le degré de symétrie de la particule; et
- calculer un indice de décalage nucléaire pour chaque particule en utilisant la donnée représentati-
- ve du degré de complexité et la donnée représentative du degré de symétrie.

25

30

35

40

45

50

55

Fig. 1

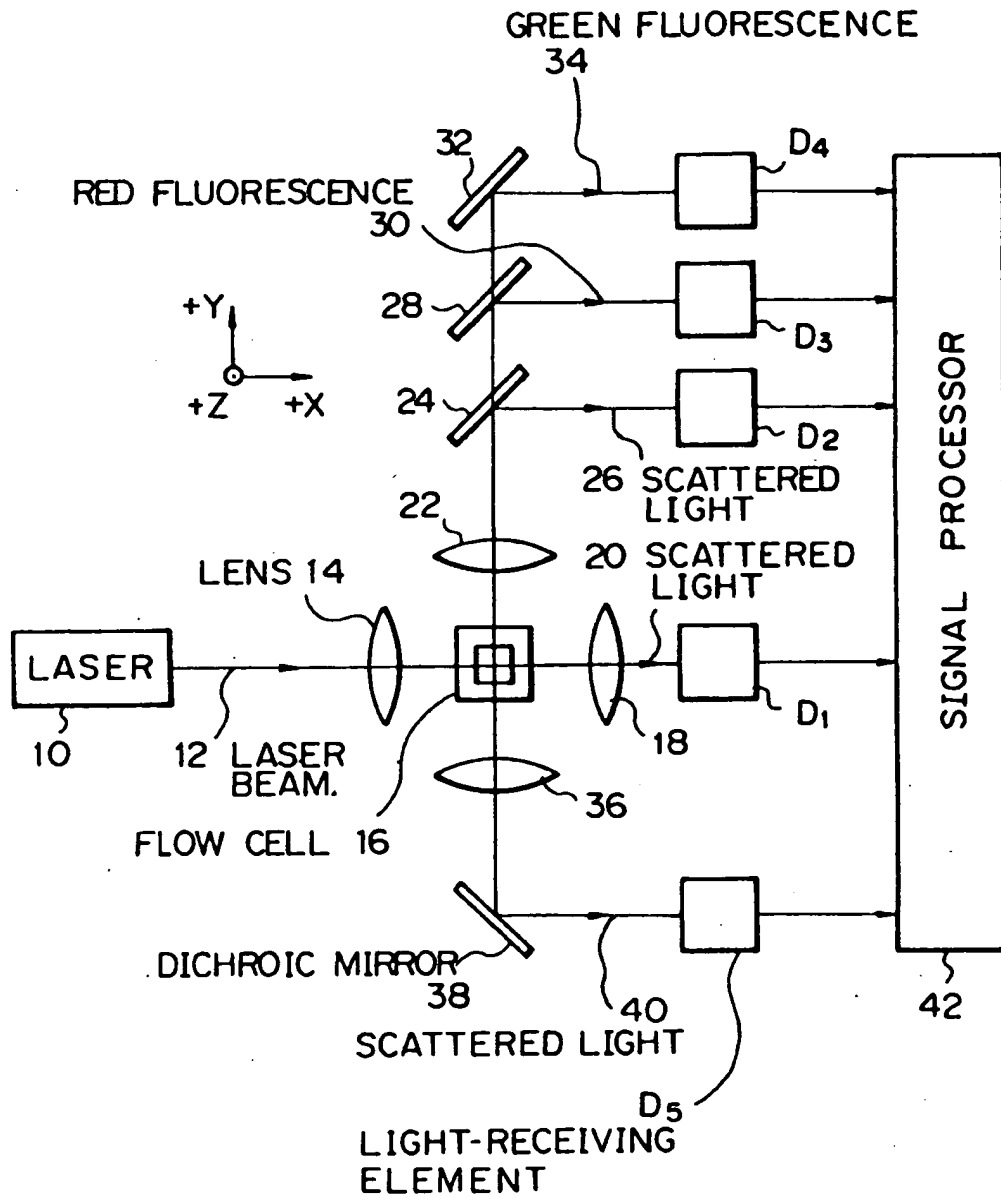


Fig. 2

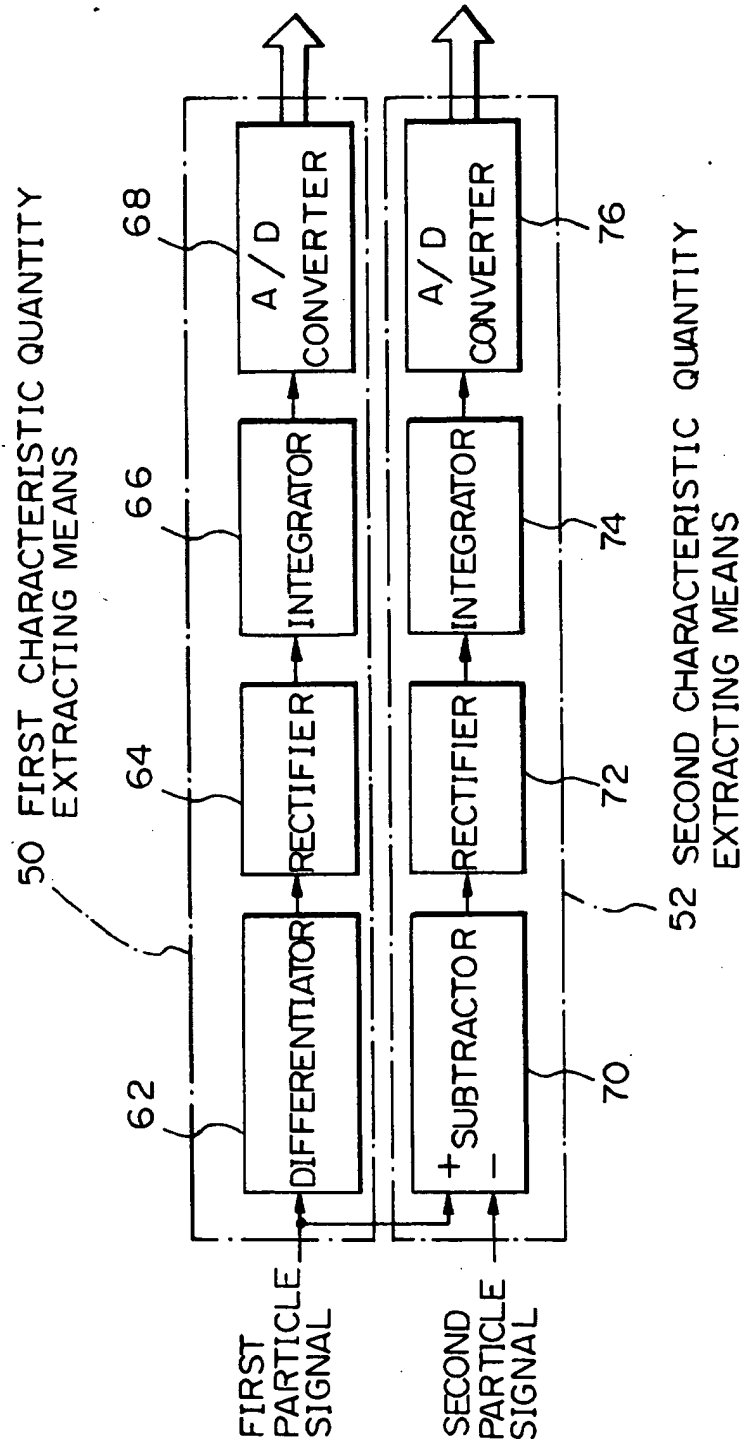


Fig. 3

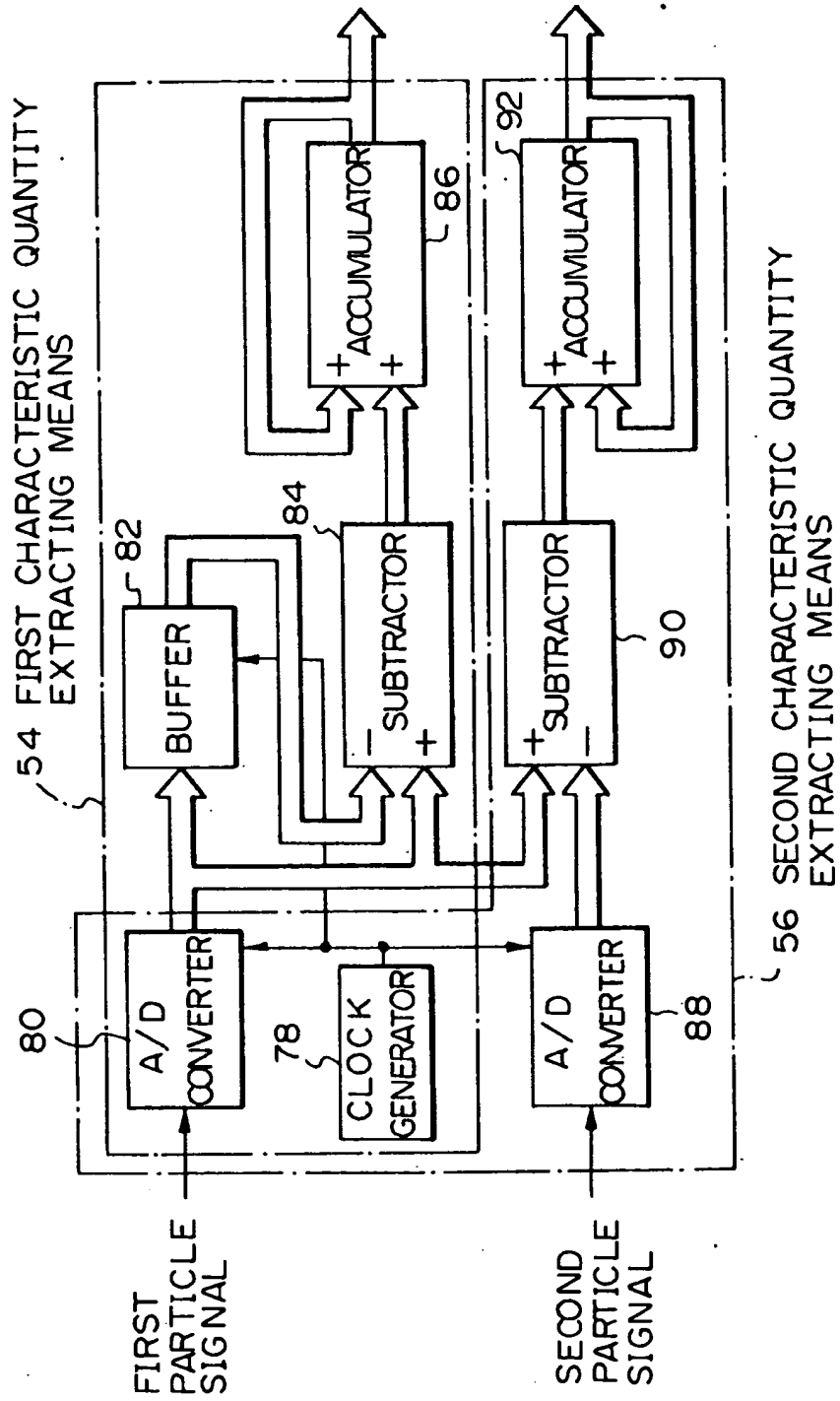




Fig. 4

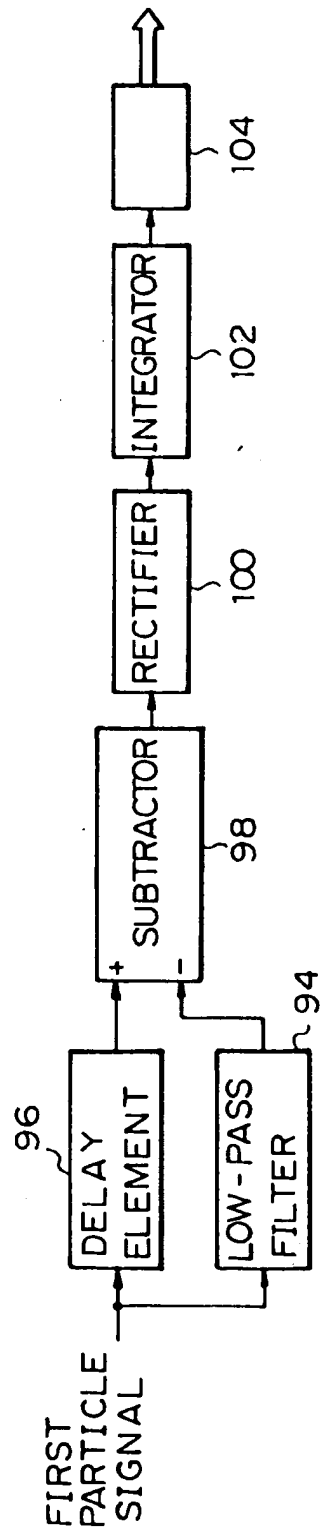


Fig. 5

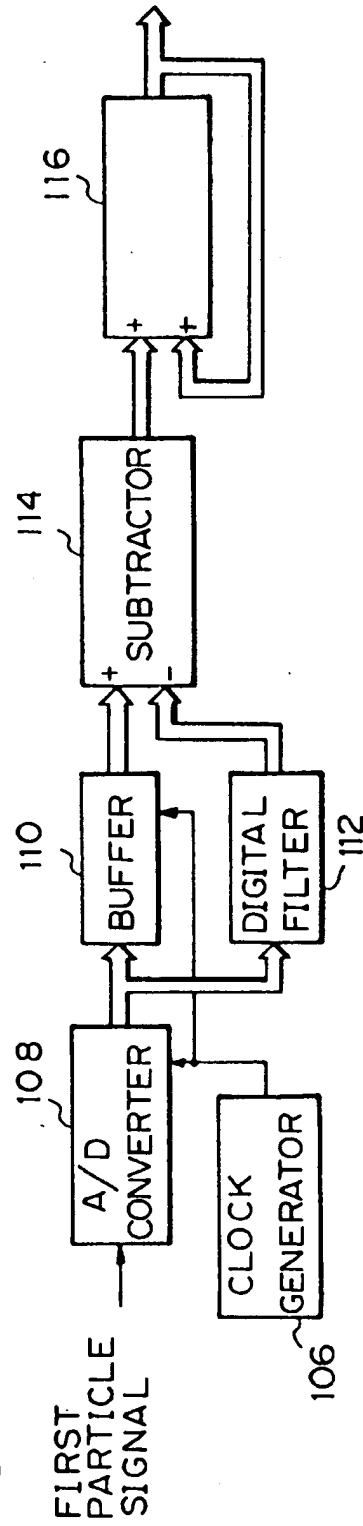


Fig. 6



Fig. 7

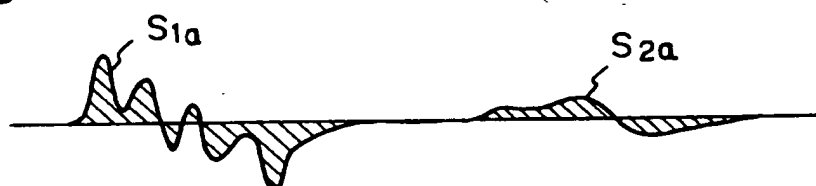


Fig. 8

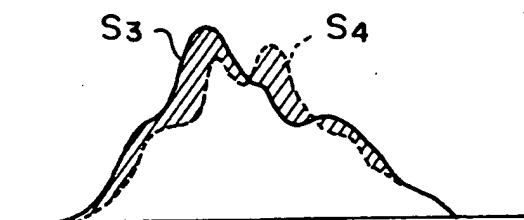
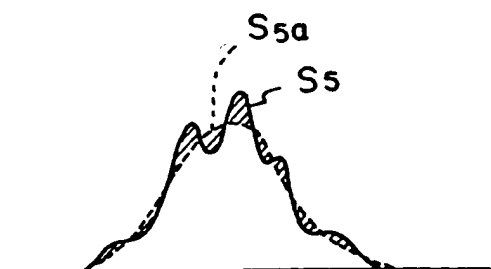
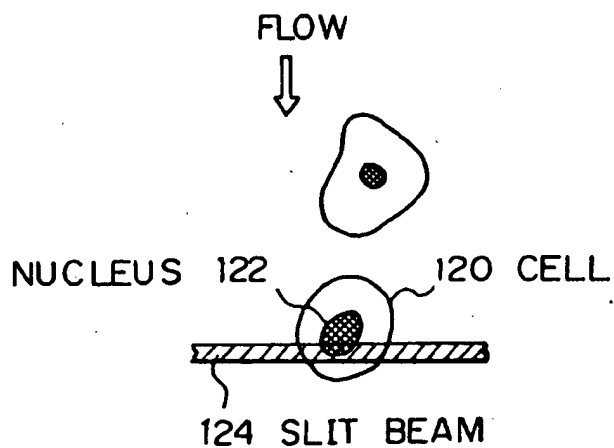


Fig. 9



*Fig. 10*



*Fig. 11*

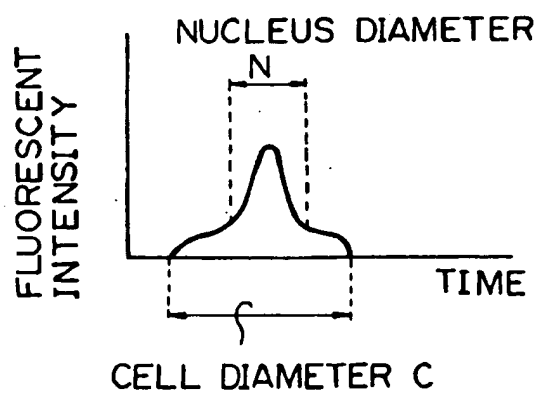
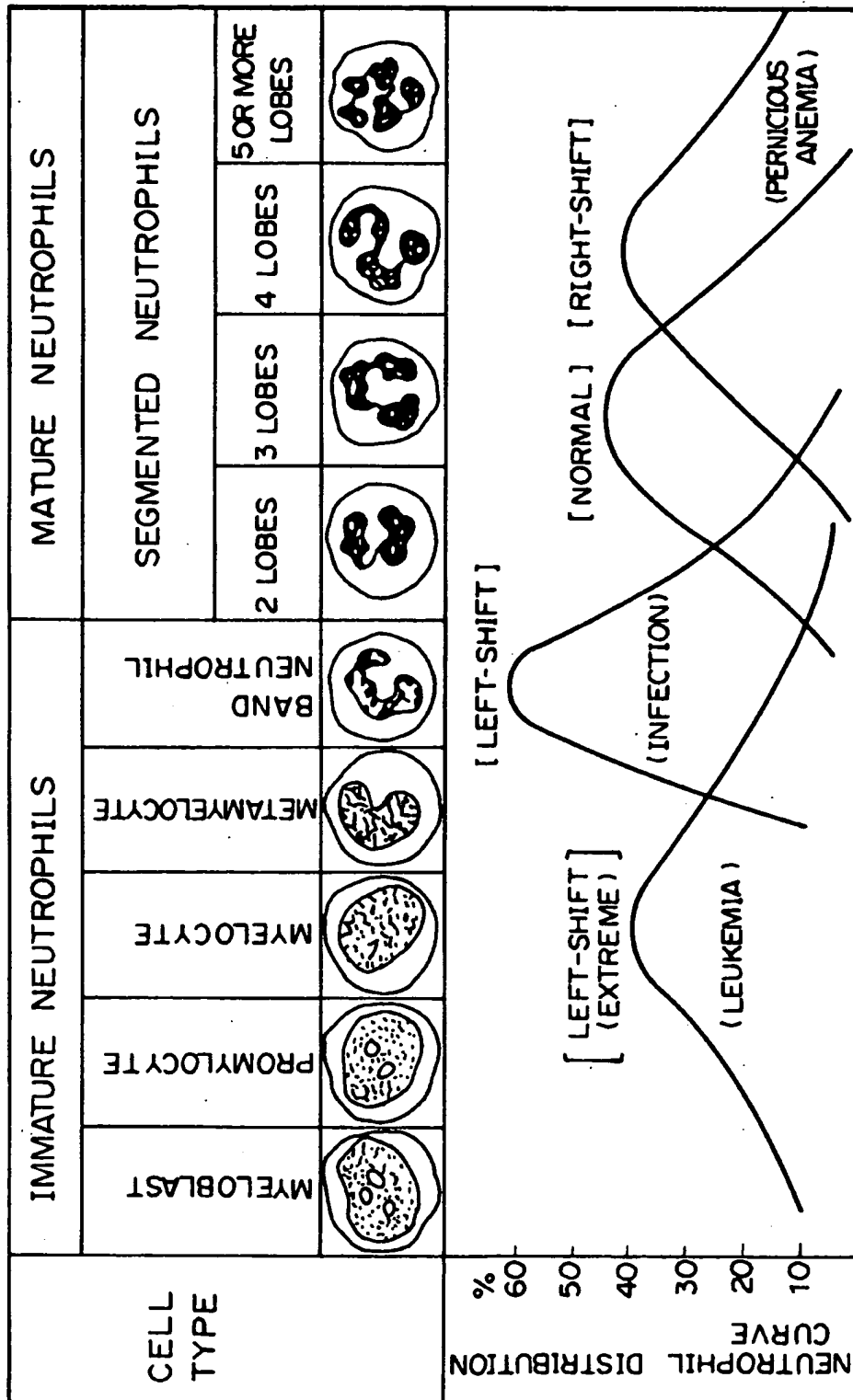


Fig. 12



*Fig. 13*

